#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Alain LANDROT et al

Appln. No.: 37 C.F.R. § 1.53(b) Divisional of 09/443,464,

Filed November 19, 2003

Confirmation No.: 7285 Group Art Unit: 3617

Filed: December 2, 2003 Examiner: Stormer, R.

For: SAFETY WHEEL, METHOD OF MANUFACTURING SUCH A WHEEL AND

BOGIE EQUIPPED WITH SUCH A WHEEL

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

#### MAIL STOP PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (Substitute for Form PTO-1449) and which are all the references of record in parent application No. 09/443,464. Applicant is not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 C.F.R. § 1.98(d).)

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

## INFORMATION DISCLOSURE STATEMENT 37 C.F.R. § 1.53(b) CONTINUATION OF 09/443,464

waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

John H. Mion

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washington office 23373 customer number

Date: December 2, 2003

Substitute for form 1449 A & B/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known				
	37 C.F.R. § 1.53(b) Div. of			
Application Number	09/443,464, filed 11/19/1999			
Confirmation Number	7285			
Filing Date	December 2, 2003			
First Named Inventor	Alain LANDROT			
Art Unit	3617			
Examiner Name	Stormer, R.			
Attorney Docket Number	Q-78616			

			U.S. 1	PATENT DOCU	MENTS
	Cite	Document Number		Publication Date	
	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 234,525		11/1880	Bressler
		US 254,521		3/1882	Washburn
		US 388,743		8/1888	Thompson
		US 463,426		11/1891	Lobdell
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ĺ		US 1,296,945		3/1919	Gaisler
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Ī		US 5,533,770		7/1996	Clausmeyer et al
		US 5,913,988		6/1999	Brouke et al

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		DE	11 186 900		3/19/1957	DiplIng. Eugene Cramer	
		EP	824 993	A1	2/25/1998	VSG Verkehrstechnik GmbH	
		UK	2 060 530	_	5/7/1981	Gyro Mining Transport Limited	
		FR	2 741 582	A1	5/30/1997	De Dietrich Ferroviaire Societe Anonyme	l
		WO	98/43834	Al	10/8/1998	DUROC AB	
				<u> </u>			

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is indicate here if English language Translation is attached.